



INDIVIDUAL / JOINT TRADING ACCOUNT APPLICATION

A photocopy of Identity Card (for Singaporean, Singapore Permanent Resident, and Malaysian) / Passport (for other foreigners) must be attached to this application form.

Please select the products you wish to transact in and/or services you wish to engage in below.

PRODUCTS AND SERVICES

- | | | |
|---|---|---|
| <input type="checkbox"/> Securities Trading | <input type="checkbox"/> Securities Margin Financing | <input type="checkbox"/> Contracts For Difference |
| <input type="checkbox"/> Securities Prefunded Trading | <input type="checkbox"/> Securities Borrowing / Lending | <input type="checkbox"/> Leveraged Foreign Exchange |
| <input type="checkbox"/> _____ | | |

	MAIN APPLICANT	JOINT APPLICANT
Salutation	Mr/Mrs/Ms/Mdm/Dr*	Mr/Mrs/Ms/Mdm/Dr*
Gender	Male / Female*	Male / Female*
Full Name <i>(Please underline surname)</i>		
NRIC/Malaysian IC/Passport No.		
Passport Expiry Date <i>(DD/MM/YYYY)</i> <i>(Applicable to Non-Singaporean, Non-Singapore PR & Non-Malaysian)</i>		
Date of Birth <i>(DD/MM/YYYY)</i>		
Country of Birth		
Nationality		
Singapore Permanent Resident	Yes / No*	Yes / No*
Residential/ Permanent Address <i>(P.O. Box No. not permitted. For Non-Singaporean & Non-Singapore PR, please state home country address.)</i>		
Mailing Address <i>(If different from Residential Address)</i>		Refer to Main Applicant
Contact No. <i>(If outside Singapore, please state name of country, country code & area code.)</i>	(Mobile)	(Mobile)
	(Home)	(Home)
	(Office)	(Office)
Email Address		
Occupation <i>(If retired, state last position held)</i>		
Name of Employer <i>(If unemployed/retired, state last employer)</i>		
Employer Address		
Gross Annual Income Level	<input type="checkbox"/> under \$30,000 <input type="checkbox"/> \$100,001 - \$300,000 <input type="checkbox"/> \$30,001 - \$50,000 <input type="checkbox"/> \$50,001 - \$100,000 <input type="checkbox"/> Above \$300,000	<input type="checkbox"/> under \$30,000 <input type="checkbox"/> \$100,001 - \$300,000 <input type="checkbox"/> \$30,001 - \$50,000 <input type="checkbox"/> \$50,001 - \$100,000 <input type="checkbox"/> Above \$300,000
Source of Funds <i>(For Investment)/Wealth</i>	<input type="checkbox"/> Salary <input type="checkbox"/> Own business <input type="checkbox"/> Commission <input type="checkbox"/> Others: <input type="checkbox"/> Gift/investment return _____	<input type="checkbox"/> Salary <input type="checkbox"/> Own business <input type="checkbox"/> Commission <input type="checkbox"/> Others: <input type="checkbox"/> Gift/investment return _____

* Delete accordingly

Sign here

Signature of Main Applicant

Sign here

Signature of Joint Applicant, if any

	MAIN APPLICANT	JOINT APPLICANT
Are you a non-Singapore tax resident[^]? If yes, please list the country or countries in which you are a tax resident.	<input type="checkbox"/> Yes <input type="checkbox"/> No (i) _____ ; _____ Country Foreign TIN (ii) _____ ; _____ Country Foreign TIN	<input type="checkbox"/> Yes <input type="checkbox"/> No (i) _____ ; _____ Country Foreign TIN (ii) _____ ; _____ Country Foreign TIN
Marital Status	Single / Married / Other*	Single / Married / Other*
Spouse's Name		
Spouse's NRIC/Passport No.		
Are you related to any director, employee or remiser of Maybank Kim Eng?	No / Yes* (if yes, please state name of employee and relationship)	No / Yes* (if yes, please state name of employee and relationship)
Do you have existing account(s) with other broking firms?	No / Yes* (if yes, please name the firms)	No / Yes* (if yes, please name the firms)

[^] If you are a non-Singapore tax resident, please state your foreign tax identification number (TIN) and provide us with a completed tax residency self-certification form, * Delete accordingly or a completed Form W-9 if you are a U.S. tax resident.
 A US citizen or resident (including a US green card holder) is considered a US tax resident even if he or she is a tax resident of another jurisdiction.

JOINT APPLICANT'S RELATIONSHIP WITH MAIN APPLICANT (if applicable): _____

CPF/SRS DETAILS

(Please select and provide details if applicable)

CPF AIS : DBS OCBC UOB A/c No: _____
 SRS Agent Bank : DBS OCBC UOB A/c No: _____

FINANCIAL ADVISORY (Not available for Accredited, Expert and Overseas investors)

Yes
 No, I/we wish to proceed without receiving any advice (except for execution-related advice) from Maybank Kim Eng. In choosing this option, I/we have decided to act on my/our own accord. Therefore, it is my/our responsibility to ensure the suitability of the product selected. I/We will not be able to rely on section 27 of the Financial Advisers Act, Chapter 110 of Singapore (the "FAA") to file a civil claim in the event that I/we allege that I/we have suffered a loss.

Note: Advice about listed Specified Investment Products can be requested at any time. For more information on listed Specified Investment Products, please refer to our **Guide and Cautionary Notes in Applying For/Continuing With An Account with Maybank Kim Eng.**

POLITICALLY EXPOSED PERSON ("PEP")

PEP means a natural person who is or has been entrusted with prominent public functions whether in Singapore or in a foreign country, or in an international organisation. "Prominent public functions" includes the roles held by a head of state, a head of government, government ministers, senior civil or public servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, members of the legislature and senior management of international organisations. "International organisation" means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognised by law in member countries and which is not treated as a resident institutional unit of the country in which it is located.

Please provide details of the PEP as follows if you or your immediate family member are/is a PEP, or if you are a close associate of a PEP.

Name: _____ Relationship to Applicant: _____

Occupation: _____ Employer/ Country: _____

PERSONAL DATA PROTECTION CONSENT

I/We confirm that I/we understand and accept the Personal Data Protection Consent terms in the Acknowledgement and Declaration section of this Application Form and agree that Marketing Messages may be sent to my/our telephone contact number(s) by:

Voice call (including calls through third party applications such as Viber or Tango)
 Text message (SMS / MMS, including messages through third party applications such as Whatsapp or Line)
 Fax

ACKNOWLEDGEMENT AND DECLARATION BY APPLICANT(S)

In addition to the consent I/we give above, I/we confirm that I/we understand and accept the Acknowledgement and Declaration section of this Application Form.

 Sign here

 Sign here

 Sign here

 Sign here

Signature of Main Applicant

Signature of Joint Applicant, if any

Signature of Witness

Signature of Notary public, if any

Date

Date

Date

Date

FOR OFFICIAL USE ONLY

TR Name/Code: _____		TR Signature: _____		Date: _____	
<input type="checkbox"/> CDP Account Number : 1681 - _ _ _ - _ _ _ _		<input type="checkbox"/> DA Sub-Account : 2211 - _ _ _ - _ _ _ _			
Account Number:	Special Code:	Date A/C opened : _____			
Remarks:	Walk-In:	Input By : _____		Signature: _____	
	<input type="checkbox"/> Yes <input type="checkbox"/> No	Input Checked By : _____		Signature: _____	

ACKNOWLEDGEMENT AND DECLARATION BY APPLICANT(S)

I/We confirm that I/we understand and accept:-

- a. the Terms & Conditions of Maybank Kim Eng Securities Pte Ltd ("Maybank Kim Eng T&C") (as may be changed from time to time) and understand that the Maybank Kim Eng T&C shall govern my/our relationship, trading accounts, margin accounts, Internet or mobile trading, custodial or other services of Maybank Kim Eng; and
- b. the risks associated with trading of various financial instruments in Part VII. Risk Disclosure Statement of Maybank Kim Eng T&C.

I/We acknowledge that the Maybank Kim Eng T&C is available on www.maybank-ke.com.sg/terms-conditions (or any other website notified to me/us) and that a printed copy of the Maybank Kim Eng T&C is available upon my/our request.

I/We accept that Maybank Kim Eng reserves the unconditional right to decline this Application without giving any reason whatsoever.

I/We am/are aware that Maybank Kim Eng's trading representatives may operate from outside Maybank Kim Eng's office premises, which might affect customer service and there may be risks of delay in trade processing, outages and unauthorised trading. I/We agree to place trade orders only with such trading representatives, and I/we shall be responsible for monitoring my/our accounts for any unauthorised trading and direct all complaints, if any, to Maybank Kim Eng for investigation.

I/We represent, warrant and undertake to Maybank Kim Eng that:

- a. All my/our particulars and the information given or to be given from time to time to Maybank Kim Eng are and will be true and accurate in form and substance, any changes will be notified to Maybank Kim Eng promptly and I/we have not wilfully withheld any material facts.
- b. I/We am/are over 18 years of age, not insolvent or under any legal disability and have not been convicted of a serious offence.
- c. I/We am/are making this application for myself/ourselves and not on behalf of any other person or party; and I/we am/are the legal and the beneficial owner of the account(s) applied for.
- d. I/We am/are not blacklisted with the SGX-ST, SGX-DT or any other exchange or by any other securities firm nor have I/we any delinquent account(s) with any other securities firm.
- e. I/We are opening the account(s) based on my/our own judgment and did not rely on any representation, warranty, views or information from Maybank Kim Eng, its employees or its trading representatives.

(FOR JOINT ACCOUNT HOLDERS)

- (i) We request Maybank Kim Eng to open a joint trading account in our joint names to be operated by either one of us. We confirm that any instructions (including trading and settlement instructions), authorisation, request, act, admission, agreement or settlement of whatever nature in respect of the operation of the joint account or any transaction given by either one of us shall be binding on the other joint account holder and Maybank Kim Eng may act on the same.
- (ii) We agree that Maybank Kim Eng may in its absolute discretion impose such terms and conditions, restrictions or limits as Maybank Kim Eng shall deem fit in the operation of our joint account and our respective individual trading or other accounts with Maybank Kim Eng including the monitoring of our aggregate exposure.

TAX COMPLIANCE

I/We am/are aware of Singapore's commitment to protect its financial system from being used to harbour or launder tax evasion monies or proceeds from serious tax offences with effect from 1 July 2013. Serious tax offences include omissions, falsifications or fraudulent conduct perpetrated with wilful intent to evade tax or to assist others in evading tax.

I/We am/are responsible for my/our own tax affairs and any tax and/or legal advice necessary to make this declaration. I/We accept that Maybank Kim Eng is not responsible for providing me/us with any legal or tax advice and I/we confirm that I/we have not relied on Maybank Kim Eng to provide me/us with such advice.

To the best of my/our knowledge, information and belief, I/we declare that:

- a. My/Our accounts/transactions with Maybank Kim Eng are in compliance with the tax laws of the relevant country within which I/we am/are residing, domiciled in or tax citizens of;
- b. I/We have neither wilfully committed nor been convicted of any serious tax offences;
- c. Any payments or assets I/we provide to Maybank Kim Eng for any transactions or accounts with Maybank Kim Eng are sourced from legitimate sources and are not proceeds of serious tax offences; and
- d. Any accounts or transactions by me/us with Maybank Kim Eng are not and will not be used in connection with any serious tax offences.

I/We consent to Maybank Kim Eng disclosing any information relating to me/us including details of my/our account(s), investments or transactions to any government, tax and regulatory agency or authority or any party (located in or outside Singapore) for the purpose of enabling Maybank Kim Eng to comply with any legal, tax or regulatory obligation under any applicable laws (including the Foreign Account Tax Compliance Act ("FATCA"), the Common Reporting Standard ("CRS") or any similar law in any jurisdiction).

I/We authorise Maybank Kim Eng to deduct or withhold from my/our account(s) maintained with Maybank Kim Eng such amounts or otherwise make any payment on account of any tax or levy in any jurisdiction where Maybank Kim Eng is required to do so by any government agency, revenue authority or any party in any jurisdiction and/or under any applicable law (including FATCA and CRS).

I/We consent that Maybank Kim Eng may classify me/us as a recalcitrant account holder and/or suspend, recall or terminate my/our account(s) and/or facilities granted to me/us, if I/we fail to provide accurate and complete information and/or documentation as Maybank Kim Eng may require.

I/We agree to indemnify Maybank Kim Eng and its related companies or affiliates, to the extent permitted by law, for any loss or liability that may be incurred by Maybank Kim Eng or its related companies or affiliates in connection with any breach by me/us of any of my/our declarations above or obligations and/or Maybank Kim Eng's law.

I/We acknowledge and agree that I/we may incur liabilities arising from or in connection with Maybank Kim Eng's compliance with FATCA, CRS or any tax or regulatory obligations under any applicable laws and I/we agree that Maybank Kim Eng shall not be liable for that loss

PERSONAL DATA PROTECTION CONSENT

I/We agree and consent that Maybank Kim Eng, its affiliates or related companies, agents and business partners may collect, use, disclose and process my/our personal data provided by me/us or held by Maybank Kim Eng for the purposes stated in the Maybank Kim Eng T&C (collectively, the "Purposes"). Such Purposes include:

- a. Administrating or managing my/our relationship or account with Maybank Kim Eng, including carrying out my/our instructions;
- b. Providing me/us with the products and services of Maybank Kim Eng, as well as products and services by other external providers provided through Maybank Kim Eng;
- c. Sending me/us marketing, advertising and promotional information and materials relating to the products and services of Maybank Kim Eng, its affiliates or related companies, agents and business partners, which may be of interest to me/us by postal mail or e-mail ("Marketing Mails");
- d. Sending me/us marketing, advertising and promotional information and materials relating to the products and services of Maybank Kim Eng, its affiliates or related companies, agents and business partners, which may be of interest to me/us to my/our telephone contact number(s) by ("Marketing Messages") the mode(s) I/we choose.

I/we accept that I/we can withdraw my/our consent for receiving Marketing Mails and Marketing Messages at the website: www.maybank-ke.com.sg

I/we consent that my/our personal data may be disclosed or transferred to service providers, suppliers, agents, or intermediaries of Maybank Kim Eng or any other third parties stated in the Maybank Kim Eng T&C, whether in Singapore or elsewhere, for the Purposes, in a manner consistent with Maybank Kim Eng Privacy Policy on the Maybank Kim Eng website, or to the extent necessary under the applicable law.

E-STATEMENT CONSENT

In providing you my/our email address, I/we agree and consent to receiving daily and/or monthly statements, contract notes and/or other correspondence or communications from Maybank Kim Eng online (the "e-Statements"). I/We accept to be notified of the e-Statements via electronic means and I/we can withdraw my/our consent for receiving e-Statements at any time, and that information on how to do so is available on www.maybank-ke.com.sg under FAQ.