

## Terms and Conditions for “Free NYSE/ NYSE Arca/ NASDAQ Live Prices” Promotion

1. The “Free NYSE/ NYSE Arca/ NASDAQ Live Prices” Promotion (“Promotion”) runs from 1<sup>st</sup> January 2018 31 December 2020, both dates inclusive (“Qualifying Period”).
2. This Promotion is open to clients with a KE Trade/MKE trade account with Maybank Kim Eng Securities Pte Ltd (“Maybank KES”) during the Qualifying Period.
3. The following persons are not eligible to participate in this Promotion:
  - (a) Maybank KES institutional clients;
  - (b) Employees and Trading Representatives of Maybank KES; or
  - (c) Maybank KES account holders whose accounts have been suspended, cancelled or terminated
4. Clients who qualify for the Promotion will be provided with one-month complimentary access to live prices on the NYSE/NYSA Arca/NASDAQ (“US Live Prices”).
5. To qualify for this Promotion, clients must execute at least one trade in any of the following US exchanges via KE Trade/MKE trade during a calendar month within the Qualifying Period:
  - (a) NASDAQ
  - (b) NYSE
  - (c) NYSE Arca
6. The one-month complimentary access to the US Live Prices will be activated the next day, between Tuesdays and Saturdays, regardless of public holidays. This is subject to clients completing the applicable subscription procedures, including but not limited to accepting the applicable subscriber agreements.
7. The one-month complimentary access to the US Live Prices may be redeemed by non-professional subscribers only, as per the NASDAQ OMX Online and NYSE Global Subscriber Agreement.
8. Clients may only qualify for the one-month complimentary access to the US Live Prices on a calendar month basis. Please refer to the following illustration:

### Illustration

#### 9 April 2019

You trade on NYSE/NYSE Arca/NASDAQ on 9 April 2019. As you have yet to accept the Exchange Subscriber Agreement, an email is sent to you with instructions on how to do so. Upon acceptance of the Exchange Subscriber Agreement, one-month free NYSE/NYSE Arca/NASDAQ live prices will be activated the next day and will be available from 10 April to 10 May 2019.

#### 18 April 2019

You execute another trade on NYSE/NYSE Arca/NASDAQ. As you have already enjoyed free US live prices for a month for trading in the calendar month of April, you will not be entitled to another month of free access.

3 May 2019

You execute another trade on NYSE/NYSE Arca/NASDAQ on 3 May, a new calendar month. You will be entitled to one-month free US live prices and it will be available from 4 May to 3 June 2019.

## General Terms & Conditions

1. All trades made under this Promotion are subject to the standard Terms and Conditions of business of Maybank KES, such Terms and Conditions being available at the website of Maybank KES: [http://www.maybank-ke.com.sg/terms\\_conditions.htm](http://www.maybank-ke.com.sg/terms_conditions.htm)
2. Notwithstanding anything herein contained, Maybank KES reserves the right at any time in its absolute discretion to (i) amend, add and/or delete any of these terms and conditions without prior notification (including eligibility and qualifying terms and criteria) to any person, and every client shall be bound by such amendments, additions and/or deletions when effected, or (ii) vary, withdraw, suspend or cancel the Promotion without having to disclose a reason thereof and without any compensation or payment whatsoever.
3. Maybank KES, its affiliates, employees or agents shall not be liable to any person for any loss, damage, injury, costs or expenses incurred, suffered or borne, arising from this Promotion or any transactions that are processed late, incorrectly or lost due to computer or other electronic breakdown or malfunction or for any other reason related to the Promotion.
4. In the event of any dispute with any client in connection with the Promotion, Maybank KES shall have the sole and absolute discretion to decide whether to suspend, disqualify, or reverse any transactions and/or actions taken pursuant to this Promotion.
5. The decision of Maybank KES on any and all matters relating to or arising from this Promotion (including the eligibility of any client to participate in this Promotion) shall be absolute, final and binding on all parties. In the event of any inconsistency or conflict between these terms and conditions and those in any brochure, marketing or promotional material relating to the Promotion, these terms and conditions shall prevail.
6. These terms and conditions are governed by and interpreted according to the laws of Singapore and the participants in this Promotion agree to submit to the exclusive jurisdiction of courts of Singapore in the event of any disagreement or dispute relating to or arising from the Promotion.